Financial Adviser Profile

ARA FINANCIAL PLANNING SERVICES

Overview

Arthur Roe has been a Certified Financial Planner® for the past 20 years and has over 40 years of experience in providing specialist taxation and financial planning advice. Arthur has experience working with a broad range of clients, from public companies, medium to large private companies, superannuation funds, high net worth individuals and smaller professional practices.

Prior to opening his own firm in July 2000, Arthur was the senior taxation consulting partner at PKF for 17 years, 13 of which at partnership level.

Arthur Roe is a Sub-Authorised Representative of ARA Financial Planning Services Pty Ltd, Corporate Authorised Representative No. 1277898. Authorised Representative No. 246127.

Qualifications

Arthur Roe holds a Bachelor of Business in Accounting, a Graduate Diploma in Taxation Law, is a Certified Financial Planner® and meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Arthur Roe is a member of the Institute Chartered Accountants and the Financial planning Association of Australia (FPA) and abides by their respective codes of professional conduct and ethics.

Authorisations

Arthur Roe is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Securities;
- Standard Margin Lending Facility;
- Superannuation; and
- Self-Managed Superannuation Funds.

Arthur Roe

ARA Financial Planning Services

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ARA Financial Planning Services Advice Fees and Charges

Arthur Roe will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you before work commences.

Arthur's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Arthur provides the option of ongoing reporting and advisory services. This fee is calculated and will be advised to you should you require on-going services following a presentation of a Statement of Advice. You will be notified of the cost involved prior to the commencement of any ongoing services.

ARA Financial Planning Services pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Arthur is the Managing Director of ARA Financial Planning Services and will receive a salary/benefit from this company.

Other Benefits Arthur Roe May Receive

From time to time Arthur Roe may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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